



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



☒ Application of: Eisenbach-Schwartz et al.
☐ Patent of:

☒ Application No.: 09/218,277
☐ Patent No.:

Group Art Unit: 1643

☒ Filed: December 22, 1998
☐ Issued:

Examiner: To be assigned

For: ACTIVATED T-CELLS, NERVOUS
SYSTEM-SPECIFIC ANTIGENS AND
THEIR USES

Attorney Docket No.: 5763-029

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
[37 CFR 1.9(f) and 1.27(c)] - Small Business Concern

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act in behalf of
the concern identified below:

Name of concern Proneuron Biotechnologies

Address of concern 1801 Century Park East, Seventh Floor
Los Angeles, California 90067

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the person employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern and/or there is an obligation under contract or law by the inventor(s) to convey rights to the small business concern with regard to the invention, entitled ACTIVATED T-CELLS, NERVOUS SYSTEM-SPECIFIC ANTIGENS AND THEIR USES by inventors Michal Eisenbach-Schwartz, Irun R. Cohen, Gila Moalem, Pierre Beserman, and Alon Monsonego, described in

- ☐ the specification filed herewith
☒ application no. 09/218,277 filed December 22, 1998
☐ patent no. issued

OIA
REMARKS
If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

FULL NAME Yeda Research and Development Co. Ltd.

ADDRESS Weizmann Institute of Science

P.O. Box 95, Herzl Street

Rehovot 76100 Israel

☐ INDIVIDUAL

☒ SMALL BUSINESS CONCERN

☐ NONPROFIT
ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT
ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT
ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28 (b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Send correspondence to: PENNIE & EDMONDS LLP
1155 Avenue of the Americas
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Direct Telephone calls to:
PENNIE & EDMONDS LLP

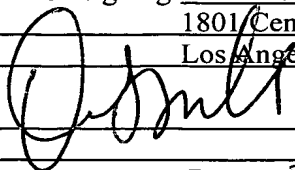
Name of person signing Dale Miller

Title of person other than owner President

Address of person signing Proneuron Biotechnologies

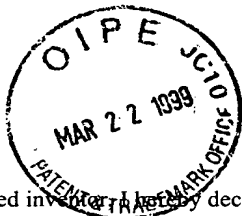
1801 Century Park East, Seventh Floor

Los Angeles, California 90067

Signature 

Date 2/18/99

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.
(37 CFR 1.27)



#4

**DECLARATION
AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. underneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

ACTIVATED T-CELLS, NERVOUS SYSTEM-SPECIFIC ANTIGENS AND THEIR USES

and for which a patent application:

- ☐ is attached hereto (if applicable)
☒ was filed in the United States on December 22, 1998 as Application No. 09/218,277 with Preliminary Amendment filed on _____ (if applicable)
☐ was filed as PCT international Application No. _____ on _____ and was amended under PCT Article 19 on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
IL 124550	Israel	May 19, 1998	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER	FILING DATE

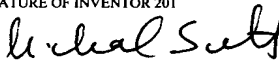

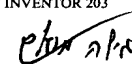

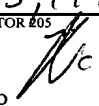
I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED
PCT/US98/14715	July 21, 1998		X	

POWER OF ATTORNEY: As a named inventor, I hereby appoint S. Leslie Misrock (Reg. No. 18872), Harry C. Jones, III (Reg. No. 20280), Berj A. Terzian (Reg. No. 20060), Gerald J. Flintoft (Reg. No. 20823), David Weild, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Rein (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Isaac Jarkovsky (Reg. No. 22713), Joseph V. Colaizzi (Reg. No. 20019), Charles E. McKenney (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harbulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), James N. Palik (Reg. No. 25510), Thomas E. Friebe (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Jon R. Stark (Reg. No. 30111), Allan A. Fanucci (Reg. No. 30256), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Samuel B. Abrams (Reg. No. 30605), Steven I. Wallach (Reg. No. 35402), Marcia H. Sundeen (Reg. No. 30893), Paul J. Zegger (Reg. No. 33821), Edmond R. Bannon (Reg. No. 32110), Bruce J. Barker (Reg. No. 33291), Adriane M. Antler (Reg. No. 32605), Thomas G. Rowan (Reg. No. 34419), James G. Markey (Reg. No. 31636), Thomas D. Kohler (Reg. No. 32797), Scott D. Stimpson (Reg. No. 33607), Ann L. Gisolfi (Reg. No. 31956), and Mark A. Farley (Reg. No. 33170), all of Pennie & Edmonds LLP, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 3300 Hillview Avenue, Palo Alto, CA 94304, and each of them, my attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: PENNIE & EDMONDS LLP 1155 AVENUE OF THE AMERICAS NEW YORK, N.Y. 10036-2711				DIRECT TELEPHONE CALLS TO: PENNIE & EDMONDS LLP DOCKETING (212) 790-2803	
201	FULL NAME OF INVENTOR	LAST NAME Eisenbach-Schwartz	FIRST NAME Michal	MIDDLE NAME	
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	POST OFFICE ADDRESS	STREET 5 Rupin Street	CITY Rehovot	STATE OR COUNTRY Israel	ZIP CODE 76353
202	FULL NAME OF INVENTOR	LAST NAME Cohen	FIRST NAME Irun	MIDDLE NAME R.	
	RESIDENCE & CITIZENSHIP	CITY Rehovot	STATE OR FOREIGN COUNTRY Israel	COUNTRY OF CITIZENSHIP Israel and U.S.A.	
	POST OFFICE ADDRESS	STREET 21 Hankin Street	CITY Rehovot	STATE OR COUNTRY Israel	ZIP CODE 76354
203	FULL NAME OF INVENTOR	LAST NAME Moalem	FIRST NAME Gila	MIDDLE NAME	
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	POST OFFICE ADDRESS	STREET 27 Bosel Street	CITY Rehovot	STATE OR COUNTRY Israel	ZIP CODE 76405
204	FULL NAME OF INVENTOR	LAST NAME Beserman	FIRST NAME Pierre	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY Moshav Sitriya	STATE OR FOREIGN COUNTRY Israel	COUNTRY OF CITIZENSHIP Israel	
	POST OFFICE ADDRESS	STREET	CITY Moshav Sitriya	STATE OR COUNTRY Israel	ZIP CODE 76834
205	FULL NAME OF INVENTOR	LAST NAME Monsonogo	FIRST NAME Alon	MIDDLE NAME	
	RESIDENCE & CITIZENSHIP	CITY Rehovot	STATE OR FOREIGN COUNTRY Israel	COUNTRY OF CITIZENSHIP Israel	
	POST OFFICE ADDRESS	STREET 29 Usishkin Street	CITY Rehovot	STATE OR COUNTRY Israel	ZIP CODE 76502

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201  Michal Eisenbach-Schwartz DATE 20.2.99	SIGNATURE OF INVENTOR 202  Irun R. Cohen DATE Feb. 23, 1999	SIGNATURE OF INVENTOR 203  Gila Moalem DATE 21.2.99
SIGNATURE OF INVENTOR 204  Pierre Beserman DATE 23.2.99	SIGNATURE OF INVENTOR 205  Alon Monsonogo DATE 2/3/99	SIGNATURE OF INVENTOR 206 DATE